

## Form 4-1.1

(Rule 115-119)

# Application for Reinstatement

This application is made to the Executive Director of the Law Society of Alberta for reinstatement with the Law Society of Alberta. The onus is on you to familiarize yourself with the relevant material (including Rules 105 and 115–118 and Chapter 3 of the Code of Conduct (Competence)) and to fill out the form as completely and precisely as possible. (The relevant material is available on the Law Society’s website ([www.lawsociety.ab.ca](http://www.lawsociety.ab.ca)) or on request).

Depending on the circumstances, this application may be your only opportunity to present your information to the decision-maker. For example, the Executive Director’s decision whether to refer your application for further review by a panel will be made without the opportunity for a personal appearance. A referral to a panel takes additional time and may lead to: (i) the unconditional approval of your application, (ii) the approval of your application based on conditions (which can be onerous), or (iii) the denial of your application. Decisions made by panels may be made without a personal appearance.

The personal information collected in this form will be used by the Law Society for one or more purposes contemplated by the *Legal Profession Act*, the Rules of the Law Society, the Code of Conduct, or a resolution of the Benchers and will be accessible to all departments of the Law Society, including the Alberta Lawyers Indemnity Association. The information may be used or disclosed by the Law Society, now or in the future, for regulatory purposes, including Law Society investigations and proceedings. We may contact you to obtain additional information, or to obtain clarification on the information you provided. Should you have any questions about the collection, use or disclosure of this information, please contact the Privacy Officer at (403)229-4700.

1. Name of Applicant: \_\_\_\_\_  

*First*
*Middle*
*Last*

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Work Phone Number: \_\_\_\_\_ Home Number: \_\_\_\_\_

Other Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_
2. I was admitted to The Law Society of Alberta on the following date: \_\_\_\_\_
3. I am a Canadian citizen or a person lawfully admitted into Canada for permanent residence. Yes      No
4.

Current Status (check one)	Desired Status (check one)
Inactive	Active, indemnified
Active, undertaking not to practise	Active, government or corporate
Active, government or corporate	Active, undertaking not to practise
Former member	Inactive
Suspended	Active, Pro Bono (Approved Legal Services Providers only)

5. The following explains why my status changed from active, indemnified to my current status.

6. I was last engaged in active practise in Alberta on the following date: \_\_\_\_\_

7. I have practised law **in Canada** for an aggregate of at least 12 out of the past 48 months. Yes No

8. The nature and extent of my practise **over the last 48 months** is set out fully below, including dates. (Please make specific note of how your practise dealt with the skill, knowledge and ethical standards required to practise law in Alberta. A separate sheet may be attached if required).

9. The nature and extent of my professional and occupational endeavors **since ceasing active practise in Alberta** is set out fully below including, without limitation, such matters as practising in another jurisdiction, teaching law and legal research. (Please advise how any of these endeavours has dealt with the skill, knowledge and ethical standards required to practise law in Alberta. A separate sheet may be attached if required).

10. The nature and extent of my practise **in Alberta since being admitted to the Law Society of Alberta** is set out fully below, including dates. (Please make specific note of how your practise has dealt with the skill, knowledge and ethical standards required to practise law in Alberta. A separate sheet may be attached if required).

11. I am, or have been, a member of another law society. Yes No

*If applicable, the following is a chronological list of all law societies of which I am currently or was previously a member, including current status and dates of admission.*

Society	Status	Date of Admission
_____	_____	_____
_____	_____	_____
_____	_____	_____

12. I have attached Certificates of Standing from each law society or governing legal profession of which I am or have been a member (not including Alberta) Yes No

- |     |  |     |    |
|-----|--|-----|----|
| 13. | Proceedings have been commenced against me under Part 3 of the <i>Legal Profession Act</i> .   | Yes | No |
| 14. | I have been found guilty of conduct deserving of sanction under Part 3 of the <i>Legal Profession Act</i> or its predecessors or guilty of conduct unbecoming a barrister and solicitor or a professional misdemeanor under Part 3 of the old <i>Act</i> .   | Yes | No |
| 15. | Disciplinary proceedings have been commenced against me or disciplinary punishment has been imposed on me in another jurisdiction in which I am subject to regulation of a governing body of the legal profession.   | Yes | No |
| 16. | I have pleaded guilty to or been found guilty of an offence committed inside or outside Canada <b>other than</b> an offence under a municipal by-law, a Metis settlement council by-law, or an Indian band council by-law, or, an offence in respect of which the law permits the offender to voluntarily pay a fine without the need to appear before a court or justice to enter a plea and in respect of which I voluntarily paid the fine. | Yes | No |
| 17. | I am currently charged with any of the following:  |     |    |
|     | (a) an indictable offence under any Act of the Parliament of Canada;   | Yes | No |
|     | (b) an offence under any Act of the Parliament of Canada where the offence was prosecutable either as an indictable offence or as a summary conviction offence;  | Yes | No |
|     | (c) a summary conviction offence under the <i>Income Tax Act</i> , the <i>Criminal Code</i> , the <i>Narcotic Control Act</i> or the <i>Controlled Drugs and Substances Act</i> , the <i>Food and Drugs Act of Canada</i> or the <i>Income Tax or Securities Act</i> of any province of Canada;  | Yes | No |
|     | (d) a summary conviction offence under any other law in force in Canada punishable by a fine, if the maximum fine for the offence was then at least \$25,000.00, or;   | Yes | No |
|     | (e) an offence committed outside Canada and similar to any of the kinds of offences described in clauses (a) to (d);   | Yes | No |
|     | (f) a disciplinary offence in any jurisdiction in which I am subject to the regulation of a governing body of the legal profession.  | Yes | No |
| 18. | I have been refused registration as a student-at-law or articled law clerk in a jurisdiction outside Alberta.  | Yes | No |
| 19. | I have been refused membership in an extraprovincial law society or refused a license or other authorization to practise law in a jurisdiction outside Alberta.  | Yes | No |
| 20. | (a) I have been, or am currently, the subject of a suspension, investigation, supervision, undertaking, conditions, insurance claim (self-reported or otherwise) or similar process including but not limited to Conduct, Audit, Practice Review or competence related proceedings by direction of a governing body of the legal profession in a jurisdiction other than Alberta.  | Yes | No |
|     | (b) I have been, or am currently, the subject of Practice Review Committee proceedings, insurance claims (self-reported or otherwise) or a Law Society audit on my law practice accounts in Alberta.   | Yes | No |
|     | (c) I have participated, or am currently participating in an informal or voluntary Practice Review proceeding.   | Yes | No |
| 21. | I have pleaded guilty to or been found guilty of academic misconduct.  | Yes | No |
|     | I have pleaded guilty to or been found guilty of non-academic misconduct.  | Yes | No |
| 22. | I am an undischarged bankrupt or have been a bankrupt.   | Yes | No |
| 23. | I am a judgment debtor.  | Yes | No |
| 24. | I have had a civil judgment made against me relating to fraud.   | Yes | No |
| 25. | I have disobeyed an order of a court.  | Yes | No |
| 26. | I have previously used a name other than that shown in the application.  | Yes | No |

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|-----|---|-----|----|
| 27. | (a) I have reviewed the current Chapter 3 of the Code of Conduct for the Law Society of Alberta, dealing with competence, in its entirety;  | Yes | No |
|     | (b) There are events, circumstances or conditions, other than those mentioned above, that are potentially relevant to my competence to practise law (as competence is used in Chapter 3 of <i>the Code</i> ), including, without limitation, circumstances relating to substance abuse.   | Yes | No |
| 28. | I owe money to the Law Society of Alberta.  | Yes | No |
| 29. | Full particulars in regard to any affirmative statement made in sections 13 – 28 (other than section 27 (a)) accompany this application).   | Yes | No |
| 30. | I acknowledge that the Law Society will access information it has in its records regarding credentials and education, conduct, practise review, insurance and audit proceedings involving me.   | Yes | No |
| 31. | I hereby authorize the Society to make enquires of any other law society or governing professional body, or of other entities which may have information relevant to any matters to which I gave an affirmative answer above. On request by the Executive Director, I will furnish any additional specific authorization or any release that is required for the purpose of enabling the Society to obtain information related to my background or character. | Yes | No |
| 32. | I undertake with The Law Society of Alberta that I will at all times during my enrollment faithfully and to the best of my ability perform my obligations as a barrister and solicitor and as a member of the Society under the <i>Legal Profession Act</i> , the Rules of the Society and any code or ethical standards authorized or established by the Benchers of the Society.  | Yes | No |

### DECLARATION

I, \_\_\_\_\_ solemnly declare that all information provided by me in this application or supplemental thereto, and in the documents furnished in connection with this application, is true, and I make this solemn declaration conscientiously believing it to be true and knowing that it is of the same force and effect as if made under oath.

Declared before me	)	
at: _____	)	
on _____	)	
	)	
	)	
	)	
_____	)	_____
A Commissioner for Oaths for the Province of Alberta <b>(Out-of-Province Members – please declare this document before a Notary Public)</b>	)	Applicant's Signature
	)	
	)	

The following information is required by The Law Society to determine what additional forms and fees may be required for your reinstatement. It is your responsibility to provide The Law Society with updated information if your circumstances change.

I will be practising law:

- |                                  |                                       |
|----------------------------------|---------------------------------------|
| As a sole practitioner           | For a university                      |
| With a law firm                  | For a government or government agency |
| For a corporation or association | Resident outside the Province         |

My practise contact information (if different from section 1 above) is as follows:

Name: \_\_\_\_\_  
*First*
*Middle*
*Last*

Address: \_\_\_\_\_

City: \_\_\_\_\_ Province: \_\_\_\_\_ Postal Code: \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Fax Number: \_\_\_\_\_

E-Mail Address: \_\_\_\_\_