

CELEBRATING 100 YEARS OF SERVICE



# President's Message

Mona Duckett, QC, President 2006-2007

## Strengthening an independent bar while serving the public interest

With the strategic plan in hand, developed in 2005, the Law Society of Alberta has taken on key initiatives this year to strengthen an independent bar while serving the public interest.

Benchers in 2006 began to tackle key issues such as continuing professional development for Alberta lawyers and trust account safety among others. The legal profession in Alberta, as well as those in other Canadian jurisdictions, are very fortunate to be able to self regulate. As such, we are cognizant of the need to re-examine what we do and how we do in order to more effectively achieve our strategic plan goals.

The Law Society of Alberta is mandated to serve the public interest, and to ensure that the public can access the legal system through a strong and independent bar. The Society regulates and governs all Alberta lawyers so that the public in our communities can be assured that lawyers, as the professionals, can enforce their rights, protect their interests and resolve their disputes zealously and loyally.

By enhancing Alberta lawyers' ability to act competently and ethically, we strengthen the independent bar, one of the most fundamental parts of the Canadian legal system. Our mission is, and continues to be, to serve the public interest by promoting a high standard of legal services and professional conduct through the governance and regulation of an independent legal profession.

Some of the notable recommendations and initiatives undertaken this year include:

- Making it easier for the public to obtain information about a lawyers' conduct record, including information about specific conduct proceedings. The Law Society is implementing several recommendations made by the Benchers to help the public get this and other information in an efficient, easy to understand format.

- Supporting modest fee increases through to 2009 to support the continued development of the Canadian Legal Information Institute (CanLII).
- Hosting a conference of law society auditors and investigators to facilitate the sharing of information and experiences, as well as relationship building between law societies. By understanding best practices in this area, the Law Society is moving closer towards its vision of becoming a model regulator.
- Taking a more focused approach to risk management, and taking steps to integrate risk management into the delivery of regulatory services. The Law Society currently has programs and processes in place to assess, address and manage risky practices.

In addition to these initiatives, Benchers, volunteers and staff of the Law Society continued to make presentations to groups throughout Alberta on topics such as ethics, practice advice, trust accounting, etc. We continued to review and update rules, such as the Advertising Rules, to ensure the most effective regulation of practicing lawyers.

The highlights of the past year further illustrate the milestones and achievements of our strategic plan.

**Mona Duckett, QC**  
President, Law Society of Alberta  
2006-2007

### Vision

The Law Society of Alberta will be recognized as a model for protecting the public interest and preserving the fundamental principles of justice through a self-regulated, independent and trusted legal profession.

### Mission

To serve the public interest by promoting a high standard of legal services and professional conduct through the governance and regulation of an independent legal profession.



# Strategic Plan Implementation Report

Don Thompson, QC, Executive Director

## Becoming a model regulator for the profession

At the end of 2005, the Benchers set major goals and supporting objectives for the Law Society and identified several key priorities.

These goals and objectives were determined after several years of consultation, and are intended to enhance the self-regulatory function of the legal profession, and to do so in a way that is visible to the public

Our role as the Law Society's administrative body is to develop plans and measurements that will demonstrate our achievements as we work together to achieve the vision of being a model regulator.

At the beginning of 2006, the staff collectively and collaboratively identified three key themes or core values:

- Service to both internal and external customers
- Effective and respectful communications
- Being knowledgeable about the Law Society

### GOAL 1:

To serve the public interest by promoting and ensuring high ethical standards and high standards of competence on the part of all those seeking admission to and practicing law in Alberta.

### GOAL 2:

To preserve and demonstrate the value of a self-regulated and independent legal profession.

### GOAL 3:

To uphold and preserve the fundamental principles of justice, including the rule of law, the independence of the bench and bar, effective and equal access to justice and to promote equity and diversity in the legal profession.

## BAR INTENDED TO BE SELF-GOVERNING



From the beginning in 1907, the Bar was intended to be self-governing. Lawyers would be governed by an elected group of their members called Benchers; a governing structure which continues today. The new Legal Profession Act of the early 90's continues the principle of self-governance of the legal profession.

Self-governance did require help from time to time! Initially, for example, the Courts handled the issues of discipline, suspension and disbarment of members. This pattern was developed from the Inns of Court of England and a study of the Law Society of Upper Canada. One thing the early profession did not want, however, was a division between Barristers and Solicitors. A profession where a licenced member of the Bar could do solicitor's work or appear freely before the Courts was quickly adopted as the norm in Alberta.

*excerpt from 100th Anniversary  
Law Society of Alberta history book*

### Issue

- 1 Continue working on issues of safety of trust property and compliance audits.
- 2 Determine length of time for matters to proceed through complaints to hearing, and make necessary changes to meet those timelines.
- 3 Determine what LSA is trying to accomplish in the area of unauthorized practice.
- 4 Move ahead with continuing professional development issues
- 5 Determine strategy around communication issues
- 6 Determine what services ASSIST will provide and associated costs.
- 7 Explore what should be done in addition to the already identified approach to pro bono work.

### Accomplishments To Date

- Interdepartmental collaboration resulted in identifying and determining an action plan.
- Working with other Law societies to fund a consultation with the federal regulator of banks on these issues.
- Our measurement systems are in place to measure timelines.
- Goals for informal complaints have been set.
- Completed in December 2005. We will prosecute where an unauthorized practitioner is a risk to the public.
- Committee has approved LESA's description of the on-line self-assessment tool.
- LESA will now use that to build a prototype.
- The committee has a working definition of Continuing Professional Development (CPD)
- In February 2006, the Benchers adopted the strategy developed by the Communications Committee.
- Completed for 2006-2007, and incorporated into budget
- Framework and model for Pro Bono Law Alberta has been developed.
- A working group has been established to take on the work of forming the organization and developing an implementation plan.

# Highlights of the Year 2006

## DSA for Pro Bono Legal Service Awarded for First Time

For the first time, a new Pro Bono Legal Service award was presented at the annual Distinguished Service Awards hosted jointly between the Law Society of Alberta and Canadian Bar Association Alberta.

John T. Henderson, QC, the first individual to be recognized in the new category, joined 2006 award winners Donald G. Bishop, QC for service to the profession; Robert J. Campbell, QC for service to the community; and John M. Law for legal scholarship.

The implementation of the award was one of the LSA's Pro Bono committee's initiatives to support and acknowledge the delivery of Pro Bono legal services in Alberta. The awards were presented in March 2006.

## Law Society of Alberta approves new legal aid agreement

A new legal aid governance agreement renews an ongoing partnership between the Minister of Justice, the Board of Legal Aid Alberta and the Law Society of Alberta.

The structure of the April 6, 2006 agreement will be fundamentally the same as in the past, but with several amendments. The agreement expressly recognizes that the three parties to the agreement are "all key participants in the delivery of legal aid services in Alberta and each is committed to the principles of transparency, mutual respect and consultation.

## Media and the Law Seminar 2006

The keynote speech by Linden MacIntyre, co-host of CBC's Fifth Estate, was the highlight of discussions on journalist/source privilege, access vs privacy, and the broad topic of sealing orders, publication bans and the presumption of openness. Held May 2006 in Edmonton, the seminar attracted reporters, lawyers, members of the judiciary and panelists from across Canada. Global TV's anchor Lynda Steele was the Master of Ceremonies.



## Territorial Mobility Agreement Opens Door to the North

The Law Society of Alberta became a signatory to the newly created Territorial Mobility Agreement in June 2006. The agreement was formed by the Federation of Law Societies to address the unique characteristics of the three territorial law societies. It allows them to participate in national mobility as reciprocating governing bodies with respect to permanent mobility, or transfer of lawyers from one jurisdiction to another, without a requirement that they participate in temporary mobility provisions. Being part of the agreement opens the door for Alberta practitioners to provide legal services in their preferred areas of practice.

## Alberta Legal Community Welcomes Aboriginal Law Students

In July 2006, the Law Society of Alberta welcomed six aboriginal law students to the legal community with an orientation to the LSA's roles and responsibilities as a self-regulator. The tour was part of the Aboriginal Summer Law Student Placement Program, launched earlier this year by the Equality, Equity and Diversity (EED) Committee.



The pilot project provides an opportunity for students to obtain work experience in a law firm early in their legal careers. Another program goal is to enable law firms which do not employ an aboriginal lawyer to gain insight into aboriginal culture and issues, and to foster opportunities for ongoing mentorship relationships between aboriginal students and practicing lawyers.

## Equity Ombudsperson Joins Law Society

The Law Society of Alberta welcomed its new equity ombudsperson who brings extensive experience in arbitration, mediation, workplace investigation and assessment, coaching and training. Greg Francis joined the organization in September 2006.



## Pro Bono Law Alberta — A New Network

Agreement was reached at the conclusion of a stakeholders' round table on pro bono legal services in October 2006 to create a new provincial pro bono organization. An initiative of the Law Society of Alberta, the stakeholders' round table brought together delegates from across the province to discuss and reach consensus on the need to develop a provincial network. The two dozen roundtable participants also achieved consensus on what its objectives should be, a model structure for the network and an action plan for implementation.

## 15th Anniversary of the Equality, Equity and Diversity Committee

From its origins as a special committee established in 1991 called "Women in the Legal Profession", the Equality, Equity and Diversity Committee has been a progression of ideas, policies and programs. Its accomplishments over the past 15 years have included the development of model equity policies for law firms, promoting diversity in governance, and improving Queen's Counsel selection criteria. As well, the committee has conducted surveys of lawyers as well as exit interviews to monitor the pulse of the legal profession.

The Law Society of Alberta is seen as leading edge with its model policies, increasing the number of women appointed Queen's Counsel, and reviewing discrimination and harassment complaints.



## 2006 Benchers of the Law Society of Alberta

### 2006 Benchers of the Law Society of Alberta:

**Back Row:** Ron Everard, Brian Beresh, Steve Raby, Morris Taylor (lay bencher), Dale Spackman, Rod Jerke, Perry Mack and Bradley Nemetz

**Middle Row:** Carsten Jensen, Dr. Patricia Hughes (Dean, University of Calgary Faculty of Law), Norma Sieppert (lay bencher), Doug Mah, Yvonne Stanford, John Higgerty, Vivian Stevenson, Hugh Sommerville and Shirley Jackson.

**Front Row:** Peter Michalyshyn, John Prowse, Jim Peacock (President-elect), Mona Duckett (President), Don Thompson (Executive Director, Law Society of Alberta), Wilfred Willier (lay bencher) and Vaughn Myers.

### 2006 Executive Committee:

**Clockwise from Bottom Right:** Mona Duckett (President), Doug Mah, Bradley Nemetz, Jim Peacock (President-Elect), Peter Michalyshyn, Morris Taylor, Perry Mack. Centre is Don Thompson (Executive Director, Law Society of Alberta).



## 2006 Executive Committee

## DIVERSITY WITHIN BENCHER COMPOSITION



In 1907 when the Law Society was first created, there were nine Benchers elected.

The first President of the Society was James Muir, Q.C. and the first Vice-President was Charles F.B. Conybeare, Q.C. Mr. Muir held the office from 1907 to 1926, and died in office. When he died, Mr. Conybeare succeeded him as President, holding that office for one year prior to his death.

As the size of the profession, and the responsibilities few, the number of Benchers increased until they reached the current total of 20 elected Benchers.

In May of 1984, two Lay Benchers were appointed. Alberta's first Lay Benchers were (A.V.) Tony Thibideau, a businessman from Edmonton, and Vera Morrisson, a Chartered Accountant from Calgary. The appointment of Lay Benchers continues to this day to keep the benchers in tune with the public mood.

In recognition that there would always be a relatively small percentage of the Bar practicing outside Edmonton and Calgary, steps were taken in 1916 to ensure representation from rural Alberta. At the very least, there would be one Bencher each from northern, central, and southern Alberta. This ensured that at least three Benchers would be familiar with the challenges of members living in smaller communities.

*(excerpt from 100th Anniversary Law Society of Alberta history book)*

# Honouring Excellence – Awards and Bursaries

By Mona Duckett, QC, President, Law Society of Alberta

## Distinguished Service Awards Recognizes Pro Bono Service

A new award, the 'Distinguished Service Award for Pro Bono Legal Service', was presented for the first time this year by the Law Society of Alberta and the Canadian Bar Association's Alberta branch.

John T. Henderson, QC, the first individual to be recognized in the new category, joins 2006 award winners Donald G. Bishop, QC for service to the profession; Robert J. Campbell, QC for service to the community; and John M. Law for legal scholarship.

Mr. Henderson has guided the Edmonton Centre for Equal Justice through its first year of operation as an independent, not-for-profit organization to its current position in the community as a reliable source of legal advice and representation for those who would not have access to justice.

Mr. Bishop's contributions, which have spanned 42 years, have helped preserve the history of the practice of law in Alberta. He has served as president of the LSA and the CBA Alberta, is an honorary life member of the Legal Archives Society of Alberta, and is recognized for the positive impact he has made in promoting the profession.

Mr. Campbell's service endeavors have been dedicated to making Fort McMurray, where he practices, a better place to live. He is vice-chairman on the Keyano College Board of Governors, has held past positions as president of the YMCA, the Fort McMurray Recreational Facility Society, and the Junior "A" Fort McMurray Oil Barons.

Mr. Law has been a leader in governance as a practitioner, educator, teacher and mentor for more than 30 years. As a law professor for more than 20 years with the University of Alberta, he has worked tirelessly with law students and vigorously promotes the importance of the role of law in society.



## Lawyers honoured for 50 and 60 years of service

In 2006, the Law Society of Alberta presented certificates recognizing 60 and 50 years of service to the profession.

In Edmonton, the Law Society of Alberta was pleased to present a 60-year certificate to Gerard Joseph Amerongen, QC. Fifty-year certificates were presented to: Justice John Berchmans Dea, Judge Jack Allford, and John Beckingham, QC. The family of Joseph Trofimuk (who died October 22, 2006) was presented with his 50-year certificate.

In Calgary, Law Society president Mona Duckett presented 50-year certificates to: Edward Phillip Adolphe, QC; Gregory R. Forsyth, QC; and Donnell (Don) O'Brien Sabey, QC. A Certificate of Merit was presented to past Honourary Bencher Dr. Patricia Hughes for her dedication and commitment to the Law Society of Alberta.



### Edmonton

**Back:** Judge Jack Allford, Mona Duckett, Dave Trofimuk **Front:** Justice John Berchmans Dea, Gerard Amerongen, John Beckingham

### Calgary

**Back:** Dr. Patricia Hughes, Don Sabey, Mona Duckett  
**Front:** Gregory R. Forsyth, Edward Adolphe

## BANK STOCK FORMS SCHOLARSHIP FUND

In 1954, Mr. R. B. Bennett, a member of the Society, gave the Law Society 100 shares of Royal Bank stock, valued at that time at \$100.00 per share. The purpose of the donation was to create a Scholarship Fund which today is known as the Viscount Bennett Scholarship Fund.

In the early years, the fund was used to provide medals for meritorious students. The value of the shares has increased significantly, and the income generated by the stock enables the Benchers to award up to three scholarships annually.

## Viscount Bennett Scholarship Recipient Announced

Alberta graduate student Carolyn E. Kruk (Calgary) is the recipient of the 2006 Viscount Bennett Scholarship.

Ms. Carolyn Kruk obtained her Bachelor of Laws Degree from the University of Calgary, Faculty of Law (2005) where she placed on the Dean's List for all three years of her degree. She has earned a Criminology Degree from the University of Ottawa and a Bachelor of Applied Communications Degree in Public Relations from Mount Royal College. Ms. Kruk's professional objective is to provide legal and strategic counsel to organizations on corporate social responsibility issues.

Ms. Kruk has volunteered with Student Legal Assistance and participated in the 2005 Philip C. Jessup International Moot where her team placed in the top 16 out of 103 in the world. She has clerked for the Alberta Court of Appeal and at the Court of Queen's Bench Alberta.

The Viscount Bennett Scholarship was established through a trust fund by the Right Honourable Viscount Bennett to encourage a high standard of legal education, training and excellence.



Carolyn Kruk

## W.B. Kelly, QC, Memorial Prize Recipients

The 2006 W.B. Kelly, QC Memorial Prize winners are graduate students Sarah Weingarten of the University of Alberta and Sharon Elizabeth Borgland of the University of Calgary.

The award is given to students of good academic standing who have performed with distinction in a skills training or professional responsibility and ethics course (or program) in the law faculties of the University of Calgary and the University of Alberta.

The late William Bernie Kelly, QC served as the Law Society of Alberta's deputy secretary, secretary-treasurer and secretary (executive director). In 1989, an endowment was established in Mr. Kelly's name to honour his contributions to the LSA and recognize his interest in encouraging a high level of legal education and practice in Alberta.

## Peter Freeman, QC, Bursary for Indigenous Students in Law

The 2006 Peter Freeman, QC, bursary of indigenous students in law was awarded to Brandon Tralenberg and Kristen Logan, both of the University of Alberta.

The bursary is awarded annually to law students of aboriginal descent studying at the University of Calgary or the University of Alberta. It was created in 2001 by the Law Society of Alberta to honour Peter Freeman, QC, who served as the LSA executive director from 1989 until his retirement in 2001.

## LEGAL EDUCATIONAL REQUIREMENTS CHANGING



In 1907, educational qualifications for admission were significantly simpler from today's requirements.

In the beginning, training was provided through association with practicing lawyers. In 1910, the University of Alberta began teaching courses in Law, and by 1921, was granting law degrees. Even then one could qualify for admission to the Society through articles only, by working for five years with a qualified member of the Society. Some students working through the five-year article program might augment their articles by taking academic studies, but this was optional.

In 1937, a rule was adopted which provided that only individuals with a Law Degree or its equivalent could qualify for admission to the Bar. Members who had previously been granted admission without a degree would be allowed to continue until they retired.

Today, all Alberta lawyers hold law degrees.

*(excerpt from 100th Anniversary  
Law Society of Alberta history book)*

# Celebrating 100 Years of Service

Over the past century, the evolving roles and responsibilities of the Law Society of Alberta have mirrored and contributed to social and economic growth in Alberta.

Since its establishment one hundred years ago, the Law Society of Alberta has undertaken a number of initiatives, including an assurance fund, mandatory liability insurance for lawyers, and the implementation of legal aid.

Today, the contemporary Society is a vibrant organization that fulfils its mandate and protects the public interest while providing numerous services to its members.

Please join us in our upcoming 100th Anniversary Celebrations.



## Law Society of Alberta Milestones

- 1907** Alberta Legislature passes first Legal Profession Act. Law Society of Alberta comes into being with nine elected Benchers elected. 144 members are entered on the roll. LSA appoints three standing committees: Finance and Library, Examining and Legislation, and Reporting, Printing and Discipline.
- 1910** Examining and Legislation Committee becomes Education and Legislation Committee.
- 1914** Reporting and Printing becomes a separate committee from Discipline. (University of Alberta grants first law degree. Canadian Bar Association forms.)
- 1916** Benchers take steps to ensure representation from rural Alberta.
- 1917** Finance and Library Committee separates into two committees. Education and Legislation Committee is renamed Education.
- 1921** University of Alberta establishes Faculty of Law and Legal Professional Act is amended to admit students to the Law Society of Alberta. Discipline Committee of Benchers is given full statutory authority for disciplinary matters.
- 1924** Alberta government adds law libraries to civil service as a result of LSA recommendations.
- 1925** Members are required to account for trust funds administered on behalf of clients. Term of office for Benchers is reduced from three years to two years.
- 1928** Amendments to Act now extend wide powers to Benchers to govern conduct of members.
- 1931** LSA establishes Relief Fund to assist indigent members.
- 1932** Benchers introduce the Needy Litigants program and committees were established to exempt needy litigants from payment of court costs and legal fees in civil case.
- 1937** Benchers approve rule that only individuals with a law degree or its equivalent could qualify for admission to the Alberta bar.
- 1939** LSA moves to establish an Assurance Fund. Government approves legislation creating first lawyers' Assurance Fund in Canada.
- 1949** LSA transfers complete administrative and financial control of public law libraries to the Department of the Attorney-General.
- 1950** Benchers receive report of establishment of Legal Aid Program.
- 1947** LSA establishes Memorial Scholarship Fund to assist ex-servicemen and their children studying law at the University of Alberta.
- 1954** The articling system, whereby a person could qualify for admission to the LSA by working five years with a qualified LSA member, is abolished.
- 1954** Hon. R.B. Bennett donates to LSA stock to create a Scholarship Fund, to become known as the Viscount Bennett Scholarship Fund.
- 1955** Number of Benchers increase to 14.

## Law Society of Alberta 100th Anniversary

# Schedule of Events

### 100th Anniversary Legacy Project Pro Bono Law Alberta Launch

May 23, 2007, Edmonton

May 24, 2007, Calgary

### 100th Anniversary Canada Post Stamp Launch

September 13, 2007, City Hall

Edmonton, Alberta

### 100th Anniversary Law Society of Alberta Book Launch

September 14, 2007

Lougheed House, Calgary

### 100th Anniversary Gala Dinner

October 4, 2007

**RoundUp Centre in Calgary with**

**MC – Allan Shewchuk**

Keynote Speaker: The Honourable Peter Lougheed

**Agricom Building, Northlands in Edmonton with**

**MC – James A. Wachowich**

Keynote Speaker: Chief Justice Beverley McLachlin

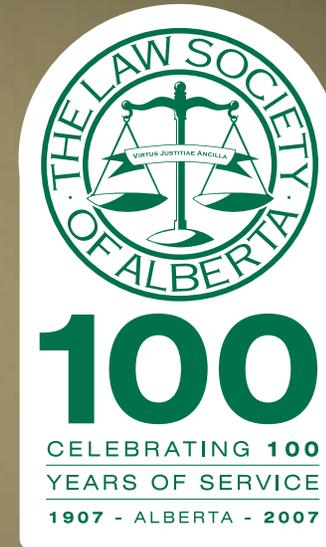
(Both speeches to be simulcast)

### 100th Anniversary Conference Canadian Lawyers in the 21st Century

Oct. 26 - 27, 2007

Lister Centre and Faculty Club

University of Alberta, Edmonton



- 1959** Rules and procedures are instituted for custodianship of the records of deceased, suspended or disbarred members of the Society.
- 1960** Benchers decide to engage a full-time secretary. Until that point, the secretary of LSA was a part-time employee.
- 1962** Benchers introduce a program of spot auditing members' trust accounts. LSA opens an independent office in Edmonton.
- 1963** The Law Society and provincial government negotiate a Legal Aid program which is designed to help needy litigants in criminal cases. It takes effect in Edmonton in 1964 and in 1965 through the rest of the province.
- 1964** LSA moves its main office to Calgary.
- 1965** New position of Deputy Secretary is created to begin in 1966.
- 1969** Group insurance with a commercial insurance carrier is launched. Benchers establish a standing Insurance Committee.
- 1970** Alberta Legal Aid Plan is formally established as joint venture between LSA and Department of Attorney General to provide for payment of legal fees incurred by needy clients.
- 1972** LSA starts Law Referral Service.
- 1973** Government legislation creates Alberta Law Foundation to receive interest on trust accounts. Legal Aid Society of Alberta is formed to administer Legal Aid program.
- 1974** Law Society funds is divided among the General Fund, Assurance Fund, Relief Fund, Viscount Bennett Trust Fund and Professional Liability Fund.
- 1975** Legal Education Society of Alberta becomes incorporated as a non-profit. Incorporation of first law practices start.
- 1976** LSA adopts Code of Professional Conduct.
- 1976** Deans of the Edmonton and Calgary law schools are appointed Honorary Benchers and invited to sit with the Benchers.
- 1981** LSA hires first Practice Advisor.
- 1982** Executive Committee is first formed.
- 1983** LSA employs first Director of Insurance.
- 1984** LSA opens second office in Edmonton. Attorney General appoints two Lay Benchers.
- 1987** Canadian Lawyers Insurance Association is formed, under general sponsorship of the Federation of Law Societies.
- 1991** Government passes latest version of Legal Profession Act, and creates third position of Lay Benchers.
- 1993** Alberta Code of Professional Conduct is approved

# Report on Regulation

## Fine tuning processes to protect the public interest

By Perry Mack, QC Bencher and 2006 Chair, Conduct Committee



Work continues on the creation of guidelines and benchmarks with respect to the timing and completion of investigations.

In 2006, the Law Society of Alberta recognized that fairness to the members and to the complainants requires a reasonable investigation process. At the end of the year, the Society entered the preliminary stages of preparing guidelines to handle both inquiries and complaints against lawyers from clients, judges, other lawyers and the general public.

A new complaint and inquiry guideline on the Society's website ([www.lawsocietyalberta.com](http://www.lawsocietyalberta.com)) explains how the Law Society of Alberta handles inquiries, complaints and other information received by the conduct department.

The Law Society receives approximately 3,000 general inquiries and complaints every year, many of which are resolved at the intake and assessment level. The steps involved in handling these are as follows:

### 1. Inquiry and dispute resolution:

When the LSA is contacted about issues other than a lawyers' conduct (referred to as General Inquiries), the complaints intake offers assist by providing information about agencies or services that may be able to help.

### 2. Review of conduct by a complaints manager:

If the issues reported to the LSA relate to a lawyers' conduct are not serious enough to warrant formal discipline proceedings, the matter is referred to a complaints resolution officer. All complaints resolution officers with LSA are lawyers with mediation training.

The complaints resolution officers work with the complainants and, if appropriate, with the lawyers to find a way to resolve the issues brought up. Of all the complaints submitted to the LSA, less than 10 per cent are referred to the formal discipline process. The rest are dealt with as a general inquiry or are resolved by the complaints resolution officers.

### 3. Deferring reviews of formal complaints as a result of other relevant, ongoing proceedings.

### 4. Formal discipline process: A complaint is forwarded to the formal discipline process if it:

- Could not be resolved to the complainant's satisfaction, or
- Discloses a concern that is potentially a significant breach of the Code of Professional Conduct.

### 5. Investigation process – Investigations are carried out when field work or interviews are necessary to conduct a thorough review of the complaints.

### 6. Dismissal of formal complaint after being reviewed. Once the information has been gathered, all materials relating to the complaint are reviewed. At this point, the complaint can be dismissed by the LSA administration or forwarded to Conduct Committee. (The complainant has the opportunity to appeal to a panel of three Benchers.)

### 7. Referral of a formal complaint to the Conduct Committee panel. In addition to directing a matter to a hearing, Conduct Committee panels may direct other actions, including:

- Dismissal
- Referral to Practice Review; or
- Further investigation

Conduct Department Statistics	2006	2005*	2004
<b>General Inquiries and Complaints received by Complaints Officers</b>	<b>2875</b>	<b>3188</b>	<b>3419</b>
Opened as formal complaints	330	305	173
Complaints by the public	184	165	109
Complaints by lawyers	54	80	27
Complaints initiated by LSA	92	60	37
<b>Dismissed at Administrative Level</b>	<b>164</b>	<b>81</b>	<b>49</b>
<b>Directed to Investigation</b>	<b>77</b>	<b>76</b>	<b>25</b>
<b>Referred to Conduct Committee Panel</b>	<b>109</b>	<b>96</b>	<b>29</b>
Dismissed by Conduct Committee Panel	35	16	4
Directed to Hearing	68	51	20
Directed to Mandatory Conduct Advisory**	16	10	2
Directed to Practise Review	9	6	n/a

\* data collected differently from 2004

\*\* informal discipline process

Hearing Statistics	2006	2005	2004
Number of lawyers suspended (under section 63) ***	5	9	3
Hearings Directed	69	31	34
Completed Hearings	37	24	25
Number of lawyers suspended	7	6	4
Number of lawyers disbarred	3	2	1
Number of lawyers who resigned in the face of discipline	4	1	3

\*\*\* suspended pending outcome of an investigation

## Membership Trends

Year	Active	Inactive
2003	7345	1671
2004	7554	1663
2005	7711	1701
2006	7967	1700

## Conduct Hearings

Year	Hearings Ordered
2002	23
2003	31
2004	34
2005	31
2006	46

# Law Society of Alberta Committee Reports



## Equality, Equity and Diversity Committee Evolving

By Stephen Raby, QC, Bencher and 2006 Chair,  
Equality, Equity and Diversity Committee

Envisioning equality of opportunity for lawyers in an increasingly diverse legal profession, the work of the Equality, Equity and Diversity Committee has been a progression of ideas, policies and programs.

In 2006, we are halfway through the time horizon foreseen by Mr. Stephen Hillier, QC, (as he was then) when he addressed the Benchers' Convocation in June 1996 by saying: "The objective of the Benchers has been to give guidance and help steer the profession in a direction towards equality, all the while knowing it would take a considerable amount of time (estimated at 20 years) and energy to sustain the focus for such a long period of time, continuous recruitment for fresh ideas and a significant amount of resources."

Highlights for 2006 include:

- Confirmation of its mandate
- Launch of an Aboriginal law summer student employment program.
- Bringing in house the Office of the Equity Ombudsperson.



## CanLII Looks to the Future

By Douglas R. Mah, QC, Bencher and 2006 Chair,  
Joint Library Committee

At the June 2006 convocation, the Benchers unanimously approved modest fee increases through 2009 to support the continued development of the Canadian Legal Information Institute (CanLII).

The Institute is a non-profit society owned and funded by the 14 member law societies comprising the Federation of Law Societies of Canada (FLSC). Through its website, CanLII provides free public internet access to Canadian statutes and case law, including decisions of selected administrative tribunals.

These enhancements are part of a longer range strategic plan approved by the FLSC Council. The plan contemplates further enhancements beyond 2009 that will include a "My CanLII" personalized page, a "search basket" of frequent and recent queries, a customized awareness service, and globalized indexation of the entire database.

CanLII is mandated to support the Canadian practice of law by being a national provider of timely and accurate legal information from all jurisdictions.



## Budget review marks Finance committee's year

By Peter Michalyshyn, QC, Bencher and 2006 Chair,  
Finance Committee

In 2006, the Finance committee oversaw a comprehensive review of the 2007 budget and extensive work on several policy issues.

The Benchers have approved increases to the Law Society's annual fee and Assurance Fund levy, effective March 15, 2007. The increases, when combined with lower insurance levies, announced in May 2006, will see Law Society lawyers paying slightly less overall to carry on active practice in Alberta.

During the second half of its 2006 mandate, the Finance committee established a Policy Forum working group of Benchers and staff to work toward comprehensive changes to the Law Society's Assurance Fund. It is expected that in 2007 a new Assurance Fund Rule and Guideline will be presented to the Benchers for adoption.



## Pro Bono Law Alberta – a new network

By Rod Jerke, QC, Bencher and Chair, Pro Bono Committee

Agreement was reached at the conclusion of a stakeholders' round table on pro bono legal services October 20-21 to create a new provincial pro bono organization, to be called Pro Bono Alberta Law. The formation of a provincial network of pro bono legal services is part of the pro bono strategic plan adopted by the Benchers in 2003. As part of the strategic plan, the LSA believes that pro bono services must complement not replace a properly funded legal aid program.

An initiative of the Law Society of Alberta and Calgary Legal Guidance, the stakeholders' round table brought together delegates from 10 community legal organizations to discuss and reach consensus on the need to develop a provincial network. The two dozen roundtable participants also achieved consensus on what its objectives should be, a model structure for the network and an action plan for implementation.

Jim Peacock, President-Elect of the Law Society and key-note speaker, outlined the LSA objectives in upholding and preserving equal and effective access to justice, and in facilitating lawyers' endeavours in the provision of to provide pro bono legal services.

# 2006 Standing Committees

## APPEAL

Mona Duckett (President)  
Jim Peacock (President-Elect)  
Brian Beresh  
Michelle Crighton  
Ron Everard  
John Higgerty  
Shirley Jackson  
Carsten Jensen  
Rodney Jerke  
Perry Mack  
Douglas Mah  
Peter Michalyszyn  
Vaughn Myers  
Bradley Nemetz  
John T. Prowse  
Stephen Raby  
Hugh Sommerville  
Dale Spackman  
Vivian Stevenson  
Julia Turnbull  
Norma Sieppert  
Yvonne A. Stanford  
Morris Taylor  
Wilfred Willier  
Shonet Arsenault (LSA)

## AUDIT

Dale Spackman (Chair)  
Michelle Crighton (Vice Chair)  
Dawn H. Giebelhaus-Mains  
Lindsay Holmes  
John Phillips  
Robert Roth  
Mark Stillman  
Don Thompson (LSA, ex officio)  
Peggy Stevenson (LSA)  
Steve Dyer (LSA)  
Shauna Hollingsworth (LSA)

## CIVIL PRACTISE ADVISORY

John T. Prowse (Chair)  
Carsten Jensen (Vice Chair)

Sandra Corbett  
Dalton McGrath  
Lorne Merryweather  
Peter Nieswandt  
Dawn Pentelechuk  
Kathleen Ryan  
Sean Smyth  
Heather Treacy  
Andrew Wilson  
Art A. E. Wilson  
Vivian R. Stevenson (Rules of Crt ex officio)  
Everett L. Bunnell (Rules of Crt. ex officio)  
Diana J. Lowe (Cdn Forum on Civil Justice, ex officio)  
Mr. Justice Frans Slatter (Ad Hoc Member)  
Mr. Justice David Wilkins (Ad Hoc Member)  
Don Thompson (LSA)

## COMMUNICATIONS

Perry Mack (Chair)  
Doug Mah  
Vaughn Myers  
Morris Taylor  
Julia Turnbull  
Dallas Miller  
Don Thompson (LSA)  
Sheila Serup (Acting Communications Director)

## CONDUCT

Perry Mack (Chair)  
Brad Nemetz (Vice-Chair)  
John Prowse  
Ron Everard  
Shirley Jackson  
Rod Jerke  
Doug Mah  
Peter Michalyszyn  
Vaughn Myers  
Steve Raby  
Dale Spackman  
Norma Sieppert  
Yvonne Stanford

Vivian Stevenson  
Morris Taylor  
Neena Ahluwalia  
Greg Collver  
John Henderson  
Anne Kirker  
Beth Miller  
Allan Ross  
Doug Stokes  
Greg Busch (LSA)  
Janet Dixon (LSA)  
Shonet Arsenault (LSA)

## CONTINUING PROFESSIONAL DEVELOPMENT

Vivian Stevenson (Chair)  
Peter Michalyszyn (Vice Chair)  
Shirley Jackson  
Rod Jerke  
Hugh Sommerville  
Raj Sharma  
Eric Spink  
Brian Summers  
George Wowk  
Hugh Robertson (LESA)  
Nancy Carruthers (LESA)  
Ross McLeod (LESA)  
Susan Billington (LSA)  
Don Thompson (LSA)

## CORPORATE & COMMERCIAL ADVISORY

David Stratton (Chair)  
Michelle Crighton (Vice Chair)  
Shirley Jackson  
Steve Raby  
Dale Spackman  
Esmail Bharwani  
Lori Bonnett  
Colleen Cebuliak  
Sharon Gould  
Dianna Horsman  
Kenneth Potter  
Michelle Simpson

Keith Yamauchi  
Don Thompson (LSA)

## CREDENTIALS & EDUCATION

Doug Mah (Chair)  
Rod Jerke (Vice Chair)  
Brian Beresh  
John Higgerty  
Vaughn Myers  
Brad Nemetz  
Steve Raby  
Yvonne Stanford  
Vivian Stevenson  
Julia Turnbull  
Crae Garrett  
Deborah Hatch  
Patricia Hughes  
Kenneth Warren  
Interim Dean Alastair Lucas (U of C)  
Dean David Percy (U of A)  
Hugh A. Robertson (LESA)  
Angela Gallo-Dewar (LSA)

## CRIMINAL PRACTISE ADVISORY

Neil Wiberg (Chair)  
Brian Beresh (Vice Chair)  
John Higgerty  
Wilf Willier  
Robert Batting  
Steven Bilodeau  
Scott Couper  
T. Catherine Christopher  
Nancy Cush  
Charles Davison  
Gloria Grieco  
James Lutz  
Neil Skinner  
Janet Dixon (LSA)

## EQUALITY, EQUITY AND DIVERSITY

Steve Raby (Chair)  
John Higgerty (Vice Chair)  
Vivian Stevenson  
Wilf Willier

Emmanuel Alade  
Audrey Dean  
Laura Dunham  
Chantell Ghosh  
Raymond Lee  
Ola Malik  
Ronald S. Maurice  
Michelle Somers  
Jennifer Koshan (U of C)  
Gerry Gall (U of A)  
M.E. A. (Beth). Miller (CBA)  
Don Thompson (LSA)  
Susan Billington (LSA)

## EXECUTIVE

Mona Duckett (President)  
Jim Peacock (President-Elect)  
Perry Mack (C/Conduct)  
Doug Mah (C/Credentials & Education)  
Peter Michalyszyn (C/Finance)  
Brad Nemetz (C/Insurance)  
Morris Taylor (Lay Bencher)  
Don Thompson (LSA)  
Doug McGillivray (FLSC rep)

## ACCESS TO JUSTICE

### Subcommittee of Executive Committee

Peter Michalyszyn (Chair)  
Perry Mack  
Jim Peacock  
Morris Taylor

## FAMILY LAW ADVISORY COMMITTEE

Wendy Rollins (Chair)  
Julia Turnbull (Vice Chair)  
Dale Ellert  
Gillian Marriott  
Richard O'Gorman  
Elsa Rice  
Elaine Seifert  
Carolyn Seitz  
Farrel Shadlyn

Victor Vogel  
Kathy Whitburn (LSA)  
Shonet Arsenault (LSA)

#### **FINANCE**

Peter Michalyshyn (Chair)  
John Prowse (Vice Chair)  
Michelle Crighton  
Carsten Jensen  
Hugh Sommerville  
Yvonne Stanford  
Morris Taylor  
Catrin Coe  
Frank de Walle  
Jim Dunphy  
Deborah Poon  
Don Thompson (LSA, ex officio )  
Peggy Stevenson (LSA)  
Steve Dyer (LSA)  
Lesley McCarty (LSA)

#### **INSURANCE**

Brad Nemetz (Chair)  
Ron Everard (Vice Chair)  
Michelle Crighton  
Dale Spackman  
Laura Burt  
David Hicks  
James McGinnis  
Paul Stein  
Phyllis A. L. Smith (CLIA Chair - ex officio)  
Kenneth G. Nielsen (CLIA Rep.)  
Lisa Sabo (ALIA)  
Dan Dorsey (ALIA)  
Veronica D'Souza (ALIA)

#### **JOINT LIBRARY**

Doug Mah (Chair)  
Carsten Jensen  
Ron Everard  
Terri Badiou  
Kirk Beler  
Jodi Mason  
Marlis Schoenemann

Mona Pearce (Dept. of Justice)  
Andrzej Nowacki (Dept. of Justice)

#### **LEGAL ARCHIVES**

Julia Turnbull  
Charlie Gardner  
Jane Sidnell  
Don Thompson (LSA, ex officio)

#### **PRACTISE REVIEW**

Vivian Stevenson (Chair)  
Vaughn Myers (Vice Chair)  
Brian Beresh  
Michelle Crighton  
Ron Everard  
Shirley Jackson  
Carsten Jensen  
John Prowse  
Hugh Sommerville  
Julia Turnbull  
Wilf Willier  
Denise Harwardt  
Donna Johnson  
Cathy Lane-Goodfellow  
Doug L. Kennedy  
John Kong  
Jim Rooney  
Carolyn Seitz  
Richard (Dick) W. Wilson  
Barbara Cooper (LSA)  
Merry Rogers (LSA)

#### **PRIVACY COMMITTEE**

Dale Spackman (Chair)  
Jim Casey  
Cindy Roberts  
Michael Whitt  
George Wowk  
Jessica Arts (LSA)

#### **PRO BONO COMMITTEE**

Rod Jerke (Chair)  
Shirley Jackson (Vice Chair)  
Jim Peacock

Norma Sieppert  
Penny Frederiksen  
Gregg C. Johnson  
Nadine Nesbitt  
Derek Van Tassell  
Susan Billington (LSA)

#### **PROFESSIONAL RESPONSIBILITY**

Ron Everard (Chair)  
Hugh Sommerville (Vice Chair)  
John Higgerty  
Carsten Jensen  
Perry Mack  
Vaughn Myers  
Norma Sieppert  
Yvonne Stanford  
Clarke Barnes  
Clarke Hunter  
Derek Redman  
Harvey Steblyk  
Colin Wetter  
Nancy Carruthers (LSA)  
Ross McLeod (LSA)  
Jennifer Rothery (LSA)

#### **UNAUTHORIZED PRACTISE OF LAW**

Vaughn Myers (Chair)  
John Higgerty (Vice Chair)  
Donald P. Kolody  
Mark Tims  
Steve Bach (LSA)  
Greg Busch (LSA)  
Pamela Jenkyns (LSA)

## **ASSURANCE - STARTING SOMETHING GOOD**



Bonding lawyers first became an issue in the recession of the mid-twenties and did not abate during the Depression. A Private Members Bill in 1925 was filed in the Legislature to require bonding, but this was defeated. The Legislature did, however, pass a resolution to investigate the requirement that all persons (including lawyers) who hold trust money be bonded.

In 1932, a Bencher of the Society suggested the creation of an Assurance Fund, setting an assessment on each member not to exceed 10 dollars. The problem of defalcations was not great, and the Benchers did not pass the resolution. The Assurance issue did not, however, rest long.

In July of 1934, the question of bonding solicitors was referred to a committee of the Benchers. In 1939, a committee under the Chairmanship of S.W. Field recommended the establishment of an Assurance Fund which was approved by the Benchers. The government responded by passing Legislation in March 1939, creating the first Assurance Fund in Canada.

The economics of the fund provide interesting study. In the first year of operation, \$5,090.00 was collected, and nothing paid out! In succeeding years, modest claims of \$200.00 to \$400.00 were made. In 1941, the annual assessment was \$7.50. Prior to 1981, \$50,000.00 was the highest recorded outlay out of the Assurance Fund!

*(excerpt from 100th Anniversary  
Law Society of Alberta history book)*

# 2006 Ad Hoc Committees

## **ALBERTA REGISTRIES LIAISON**

Steve Raby (Chair)  
Lyndon Irwin  
Sonny Mirth  
Rex Nielsen  
Christine Rapp  
David Stratton (Corp. & Commercial Rep.)  
Susan Billington (LSA)

## **ALBERTA CONVEYANCING ADVISORY COMMITTEE**

Steve Raby (Chair)  
Sonny Mirth  
Lyndon Irwin  
Pat Bishop  
Chris Warren  
Randall Thiessen  
Steve Shavers  
Heather Bonnycastle  
Louise Eccleston  
Don Homer  
Gary Kaskiw  
Phyllis Smith  
Linda Wright  
Don Thompson (LSA)  
Susan Billington (LSA)

## **BENCH & BAR COMMITTEE**

Jim Peacock (Chair)  
Vivian Stevenson  
Balfour Der  
Virginia Engel  
Kenneth G. Nielsen

## **CPLD TASK FORCE**

Mona Duckett (Chair)  
Perry Mack  
Brad Nemetz  
Jim Peacock  
Joan Copp (LESA)  
Paul Williams (LSA)

## **CLAIMS COMMITTEE**

### **Subcommittee of Insurance Committee**

Douglas A. McGillivray  
Donald Boyer  
Ed Halt  
Anne Kirker  
A. (Sandy) G. McKay  
Shelley L. Miller  
Kenneth G. Nielsen  
Gwen K. Randall  
Gerry F. Scott  
Phyllis A. L. Smith  
Doug Stokes  
Walter Kubitz  
Lisa Sabo (LSA)

## **INDEPENDENCE OF THE LEGAL PROFESSION (BAR)**

Vaughn Myers — monitoring function

## **LSA 100TH ANNIVERSARY**

### **Subcommittee of Communications**

Pat Peacock (Co-Chair)  
Phyllis Smith (Co-Chair)  
Don Bishop  
Peter Freeman  
Charlie Gardner  
Justice Adam Germain  
Doug Hudson  
Webster Macdonald, Jr.  
John Martland  
Judge Bob Philp  
John Prowse  
Bob Scammell  
Deanna Steblyk  
Sheila Serup (Acting Communications  
Director - LSA)  
Jessi-Ann Riddell (Communications  
Assistant - LSA)

## **WESTERN LAW SOCIETIES COMMITTEE**

Herb Peters (Chair, Law Society Manitoba)  
Steve Raby (Vice Chair, LSA)  
Ralston Alexander (Law Society of BC)  
Marilyn Billinkoff (Law Society Man.)  
Randy Baker (Law Society Sask.)  
Tom Schoenhoffer (Law Society Sask.)  
Patrick Mahoney (CLIA)  
Don Thompson (LSA)  
Susan Billington (LSA)

## **LEGAL AID ENSURES ACCESS TO COURTS**



In 1932, the Benchers passed rules to provide free legal services for needy litigants. Lawyers established local needy litigant committees and this program, on a strictly voluntary basis without remuneration, continued until it was replaced by Legal Aid.

In January of 1950, the Benchers received the first report concerning the establishment of the Legal Aid Program. At the annual meeting of the profession held in 1951, the Program was discussed. It was not, however, until 1964 that a program of Legal Aid in criminal matters was conducted in Edmonton. In 1965, this initial plan was extended to cover the rest of the Province, and coverage for civil matters was also under discussion.

A pilot project in Legal Aid civil matters commenced in Edmonton in February of 1969. The following year, this too was extended province-wide. The social objective was to ensure access to the Courts by those in difficult circumstances. The profession would accept the obligation to perform the Legal Services at 75 per cent of what would normally be charged.

Over the years the non-remunerative contribution being made by the profession has increased from 25 per cent to 75 per cent. The Legal Aid Program in Alberta today is a highly sophisticated program administered by the Legal Aid Society, which is supported economically by the federal and provincial governments, and administered with the assistance of the Law Society of Alberta.

*(excerpt from 100th Anniversary  
Law Society of Alberta history book)*

# 2006 Special Committees and Representatives to Other Bodies

## ADVISORY COMMITTEE ON JUDICIAL APPOINTMENTS FOR ALBERTA

Mona Duckett

## ADVISORY COMMITTEE ON MORTGAGE FRAUD

Stephen Raby

## ALBERTA LAW FOUNDATION

Stephen Raby  
Murray W. Stooke

## ALBERTA LAW REFORM INSTITUTE

Jim Peacock

## ASSIST BOARD

Brad Nemetz

## CALGARY LAW COURT CORE CONSULTATIVE COMMITTEE

Alain Hepner  
Jim Peacock

## CANADIAN BAR ASSOCIATION, ALBERTA

Mona Duckett (President)  
Jim Peacock (President-Elect)  
Don Thompson (Executive Director)

## CANADIAN LEGAL INFORMATION INSTITUTE

Marlis Schoenemann

## CANADIAN LAWYERS INSURANCE ASSOCIATION

Phyllis A. L. Smith (Chair CLIA)  
Brad Nemetz (Chair, Insurance)  
Kenneth G. Nielsen (CLIA Board)  
Vivian Stevenson (Alternate CLIA Rep.)

## CORPORATE COUNSEL, PRO BONO INITIATIVE

Bruce Churchill-Smith

## COURT-ANNEXED MEDIATION

Rod Jerke  
Peter Michalyszyn

## COURT SECURITY

Brian Beresh  
Alain Hepner

## EQUALITY AND RESPECT COMMITTEE, LAW FACULTY, UNIV. OF ALBERTA

Vivian Stevenson

## FEDERATION OF LAW SOCIETIES OF CANADA

Mona Duckett (President)  
Jim Peacock (President-Elect)  
Doug McGillivray (Council Member)

## FEDERATION NATIONAL COMMITTEE ON ACCREDITATION

Don Thompson

## Law Faculty Council

Judy Boyes (U of C)  
Brian Beresh (U of A)

## LEGAL AID NOMINATING COMMITTEE, BOARD OF DIRECTORS

Mona T. Duckett  
Alain Hepner

## LEGAL AID GOVERNANCE STRUCTURE

Brad Nemetz  
Mona Duckett

## LEGAL AID NOMINATING COMMITTEE

Mona Duckett  
Hugh Sommerville

## LEGAL AID LIAISON COMMITTEE

Jim Peacock  
Mona Duckett  
Hugh Sommerville

## LEGAL EDUCATION SOCIETY OF ALBERTA

Doug Mah  
Don Thompson

## LEGISLATIVE REVIEW COMMITTEE (CBA)

E. (Sonny) Mirth

## NOTARIES PUBLIC REVIEW COMMITTEE

S. (Sam) N. Amelio

## PROVINCIAL COURT CONSULTATION COMMITTEE

Robert Batting

## PROVINCIAL COURT NOMINATING

Mona Duckett (President)

## PROVINCIAL COURT VIDEO CONFERRING COMMITTEE

Brian Beresh

## PROVINCIAL JUDICIAL COUNCIL

Mona Duckett (President)  
Jim Peacock (President-Elect)

## RULES OF COURT COMMITTEE

Vivian Stevenson  
Everett L. Bunnell

## SELF-REPRESENTED LITIGANTS

Rod Jerke

## TAX CONSULTATIVE GROUP

Donald Cherniawsky

## VISCOUNT BENNETT SCHOLARSHIP COMMITTEE

Mona Duckett (President)  
Jim Peacock (President-Elect)  
Doug Mah (Chair, Cred. & Ed.)  
Alastair Lucas (Dean of Law U of C)  
David Percy (Dean of Law U of A)  
Don Thompson (Executive Director)

# PRESIDENTS

## THE LAW SOCIETY OF ALBERTA

The following Members have held the Office of President of the Society:

James Muir, K.C., LL.D. (deceased)	1907-1926	James Valentine Hogarth Milvain, QC (deceased)	1958-1959	Stephen Hart Wood, QC	1977-1978	John Gordon Martland, QC	1992-1993
Charles Frederick Pringle Conybeare, K.C., D.C.L. (deceased)	1926-1927	Gordon Hollis Allen, QC (deceased)	1959-1961	James William Beames, QC (deceased)	1978-1979	Edward Hector Molstad, QC	1993-1994
John Campbell Ferrie Bown, K.C., D.C.L. (deceased)	1928-1933	Louis Davies Hyndman, QC (deceased)	1962-1963	James Edward Redmond, QC	1979-1980	Peter John Royal, QC	1994-1995
Harold Hayward Parlee, QC (deceased)	1933-1935	John James Saucier, QC (deceased)	1964-1966	Russell Armitage Dixon, QC	1980-1981	Peter Walter Lambert Martin, QC	1995-1996
John Edward Annand Macleod, QC (deceased)	1936-1937	George James Bryan, QC (deceased)	1966-1967	William Everett Code, QC	1981-1982	Neil Charles Wittmann, QC	1996-1997
Alexander Macleod Sinclair, K.C. (deceased)	1938-1939	Samuel Joseph Helman, QC (deceased)	1967-1968	Alexander Andrekson, QC (deceased)	1982-1983	Barbara Ellen Catherine Romaine, QC	1997-appointed to Bench August 1997
William Ernest Payne, QC (deceased)	1939-1941	Carleton W. Clement, QC (deceased)	1968-1969	Ernest Arthur Hutchinson, QC	1983-1984	Gordon W. Flynn, QC	1997-1999
William Smith Gray, QC (deceased)	1942-1943	William Alexander McGillivray, QC (deceased)	1969-1970	Charles Gladstone Virtue, QC (deceased)	1984-1985	Terrance D. Clackson, QC	1999-appointed to Bench Jan. 2000
George Hobson Steer, QC, LL.D. (deceased)	1944-1945	Arnold Fraser Moir, QC (deceased)	1970-1971	Thomas Jackson, QC	1985-1986	Alan D. Macleod, QC	1999-2001
Sem Wissler Field, QC, LL.D. (deceased)	1946-1947	Donald Preston McLaws, QC	1971-1972	Robert Patrick Fraser, QC	1986-1987	Eric F. Macklin, QC	2001-2002
Henry Stuart Patterson, QC (deceased)	1948-1949	Eric Alexander Duncan McCuaig, QC	1972-1973	John Victor Decore, QC (deceased)	1987-1988	Kenneth G. Nielsen, QC	2002-2003
Laurence Yeomans Cairns, QC, LL.D. (deceased)	1950-1951	Melvin Earl Shannon, QC	1973	Alan Dale Hunter, QC	1988-1989	Cheryl C. Gottselig, QC	2003-2004
Stanley Harwood McCuaig, QC, LL.D. (deceased)	1952-1953	Harris Gillespie Field, QC	1973-1975	Donald George Bishop, QC	1989-1990	Larry G. Anderson, QC	2004-2005
Everett James Chambers, QC (deceased)	1954-1955	William Heman Hurlburt, QC	1975-1976	Terrence Frederick McMahon, QC	1990-1991	Douglas A. McGillivray, QC	2005-2006
Sidney Bruce Smith, QC, LL.D. (deceased)	1956-1957	Robert Lloyd Doull Fenerty, QC (deceased)	1976-1977	Mrs. Phyllis Anne Lowther Smith, QC	1991-1992	Mona T. Duckett, QC	2006-2007

# Condensed Financial Statements October 31, 2006

To the Members of the Law Society of Alberta

We have audited the balance sheet of The Law Society of Alberta as at October 31, 2006 and the statements of revenue, expenses and fund balances and cash flows for the year then ended. These financial statements are the responsibility of the Society's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we plan and perform an audit to obtain reasonable assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation.

In our opinion, these financial statements present fairly, in all material respects, the financial position of the Society as at October 31, 2006 and the results of its operations and its cash flows for the year then ended in accordance with Canadian generally accepted accounting principles.

*PricewaterhouseCoopers LLP*

PricewaterhouseCoopers LLP  
Chartered Accountants  
June 9, 2007

## Condensed Balance Sheet

As at October 31, 2006

	2006	2005
<b>Assets</b>		
Current assets	\$ 5,996,431	\$ 5,003,747
Investments	6,404,692	5,932,844
Reinsurance recoverables	5,884,287	2,933,000
Trust assets	394,259	425,911
Capital assets	1,553,531	1,707,174
<b>Total Assets</b>	<b>\$20,233,200</b>	<b>\$ 16,002,676</b>
<b>Liabilities</b>		
Current liabilities	\$ 1,583,569	\$ 655,521
Reserve for claims and related costs	8,860,083	6,268,000
Pension Plan payable	332,436	320,441
Trust liabilities	394,259	425,911
Deferred lease inducement	678,752	761,864
<b>Total Liabilities</b>	<b>11,849,099</b>	<b>8,431,737</b>
<b>Fund Balances</b>		
Invested in capital assets	1,553,531	1,707,174
Externally restricted funds		
Contingency reserve	2,512,632	2,156,651
Scholarship reserve	942,364	855,003
Unrestricted funds	3,375,574	2,852,111
<b>Total Fund Balances</b>	<b>8,384,101</b>	<b>7,570,939</b>
<b>Total Liabilities and Fund Balances</b>	<b>\$20,233,200</b>	<b>\$ 16,002,676</b>

## Condensed Statement of Revenue, Expenses and Fund Balances

the Year Ended October 31, 2006

	2006	2005
<b>Revenue</b>		
Practise fees	\$ 12,147,087	\$ 10,324,813
Investment income	1,355,947	1,098,816
Management fee	798,610	750,137
Enrolment and application fees	348,493	264,800
Other	89,868	63,776
Fines and penalties	39,851	47,360
<b>Total Revenue</b>	<b>14,779,856</b>	<b>12,549,702</b>
<b>Expenses</b>		
Corporate costs	3,096,337	2,708,158
Departments and programs	9,113,925	7,829,843
Grants and contributions	1,248,055	1,114,512
Provision for claims and related costs	493,377	1,928,895
Scholarships	15,000	15,000
<b>Total Expenses</b>	<b>13,966,694</b>	<b>13,596,408</b>
(Deficiency) excess of revenue over expenses for the year	813,162	(1,046,706)
Fund Balances - beginning of year	7,570,939	8,617,645
<b>Fund Balances - end of year</b>	<b>\$ 8,384,101</b>	<b>\$7,570,939</b>

To the Directors of The Alberta  
Lawyers Insurance Association

We have audited the balance sheet of The Alberta Lawyers Insurance Association as at June 30, 2006 and the statements of revenue, expenses and net assets and cash flows for the year then ended. These financial statements are the responsibility of the Association's management. Our responsibility is to express an opinion on these financial statements based on our audit.

We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we plan and perform an audit to obtain reasonable assurance whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures of the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation.

In our opinion, these financial statements present fairly, in all material respects, the financial position of the Association as at June 30, 2006 and the results of its operations and its cash flows for the year then ended in accordance with Canadian generally accepted accounting principles.

*PricewaterhouseCoopers LLP*

PricewaterhouseCoopers LLP  
Chartered Accountants  
October 11, 2006

## Condensed Balance Sheet

As at June 30, 2006

	2006	2005
<b>Assets</b>		
Current assets	\$ 17,773,359	\$ 21,406,657
Investments	64,429,132	56,686,546
Reinsurance recoverables	211,000	167,000
Capital assets	18,948	30,967
<b>Total Assets</b>	<b>\$ 82,432,439</b>	<b>\$ 78,291,170</b>
<b>Liabilities</b>		
Current liabilities	\$ 12,772,405	\$ 15,753,915
Reserve for claims and related costs	43,721,000	45,243,000
<b>Total Liabilities</b>	<b>\$ 56,493,405</b>	<b>\$ 60,996,915</b>
<b>Net Assets</b>		
Unrestricted	\$ 25,939,034	\$ 17,294,255
Share Capital	20	-
<b>Total Net Assets</b>	<b>\$ 25,939,034</b>	<b>\$ 17,294,255</b>
<b>Total Liabilities and Net Assets</b>	<b>\$ 82,432,439</b>	<b>\$ 78,291,170</b>

## Condensed Statement of Revenue, Expenses & Net Assets

For the Year Ended June 30, 2006

	2006	2005
<b>Revenue</b>		
Annual levy	\$ 15,417,089	\$ 14,118,783
Investment income	8,484,221	3,852,792
<b>Total Revenue</b>	<b>\$ 23,901,310</b>	<b>\$ 17,971,575</b>
<b>Expenses</b>		
Provision for claims and related costs	\$ 10,267,074	19,011,025
Premium paid to CLIA	2,580,656	2,107,810
Operating expenses	2,362,281	2,052,647
Loss prevention	46,540	103,700
<b>Total Expenses</b>	<b>\$ 15,256,551</b>	<b>\$ 23,275,182</b>
Excess (deficiency) of revenue over expenses for the period	8,644,759	(5,303,607)
Net Assets - beginning of period	17,294,255	22,597,862
<b>Net Assets - end of period</b>	<b>\$ 25,939,014</b>	<b>\$ 17,294,255</b>

# Corporate Information

The Law Society of Alberta is the self regulating body of Alberta's lawyers. In addition to a 24-member board of directors, called Benchers, the LSA is administered by a 96 person staff complement, of which 74 work in Calgary (head office) and 21 work in Edmonton (regional office)

Overseeing the administration of the LSA is a management committee consisting of Don Thompson, QC, Executive Director; Greg Busch, Director of Lawyer Conduct; Janet Dixon, QC, Senior Counsel; Lisa Sabo, Director of Insurance; Nona Cameron, Director of Human Resources; and Drew Thomson, Director of Corporate Services.

## Thanks to Law Society of Alberta Staff:

### ACCOUNTING

BILL WAKEFIELD	Controller
ELOISA DELA ROSA	Accounting Assistant, Payables
LESLEY MCCARTY	Accounting Assistant, Receivables
TIA MANKAR	Assistant Controller

### ALIA

FLORINDA KREBS	Insurance Assistant
NANCY STENSON	Claims Examiner / Investigator
GEORGES-ANDRE TAMBAY	Claims Investigator
JACKIE HICKEY	Claims Examiner
JUNE FENTON	Insurance Assistant
LISA SABO	Director of Insurance
MARGARET HOLLIS	Claims Examiner
MEREDITH LEWIS	Executive Insurance Assistant
NATASHA BAZANT	Claims Examiner
OLA MALIK	Senior Claims Examiner - Insurance/Assurance
PAUL CHAWLA	Acting Claims Supervisor
PAULA HANEY	Claims Examiner
PHILIP CARR	Claims Examiner
VERONICA D'SOUZA	IT Liaison & Administrative Supervisor

### AUDITS & INVESTIGATIONS

BOB ELLERGODT	Investigator
BRIAN OLESKY	Senior Auditor
DAN DORSEY	Investigator
CHUCK DECHENE	Auditor
DONNA KANOMATA	Audit Assistant
ENOCH LAM	Audit Assistant
GREG HOPFAUF	Auditor
GLEN ARNSTON	Manager, Audits and Investigations
JASS GREWAL	Audit Assistant/Technician
STEVE BACH	Investigator
LISA ATKINS	Audit Technician
LISA WICKSTROM	Administrative Assistant, Audits and Investigations
RAYMOND CAWALING	Audit Technician

### CPLED (CANADIAN CENTRE FOR PROFESSIONAL LEGAL EDUCATION)

LEONA DVORAK	CPLED Regional Coordinator
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### CONDUCT

BOYD HILTZ	Complaints Resolution Officer
CATHLYN ATKINS	Complaints Intake Officer
DOUG MORRIS	Complaints Resolution Officer
LEWIS WASEL	Complaints Resolution Officer
GREG BUSCH	Director, Lawyer Conduct
MAURICE DUMONT	Manager, Complaints Resolution
JENNIFER ROTHERY	Hearing Coordinator Administrator - Custodianships
PAMELA JENKYN	Conduct - Unauthorized Practice Assistant
KATHY WHITBURN	Manager, Complaints Resolution
RICK HILBORN	Complaints Resolution Officer / Coordinator
LINDA THREET	Complaints Resolution Officer
MIKE MARTIN	Risk Assessment Officer
PAM SUGIMOTO	Complaints Resolution Officer
SHONET ARSENAULT	Executive Assistant to the Director, Lawyer Conduct

### COUNSEL

GARNER GROOME	Counsel
JANET DIXON	Senior Counsel
LARA EWEN	Counsel Assistant
MICHAEL PENNY	Counsel
MICHELLE WEBSTER	Senior Counsel Assistant
SUSAN AMYOTTE	Administrative Officer, Counsel Department

### EQUITY OMBUDSPERSON

GREG FRANCIS

### IT

CHERYL DYCK	IT Director
KEITH HALFYARD	Web & Reporting / Support Analyst
ROB VINNICOMBE	Desktop / Support Analyst
SARAH EMSLIE	Business Support Analyst

### MEMBERSHIP

ANGELA GALLO-DEWAR	Staff Lawyer, Membership Services
BEV WINDREM	Membership Services Representative - Coordinator
DEBORAH LESLIE	Membership Services Representative
DONNA DIAMOND	Acting Membership Services Representative - Coordinator
JANET PERRAS	Membership Services Representative
MARY BREEN	Membership Assistant
NATALIE COCO	Membership Services Representative
RACHEL LOR	Membership Services Representative
TANYA GALLIOTT	Membership Manager

### OFFICE SERVICES

CHRISTINE BROADHEAD	Hearing Receptionist
BRIGITTE SOLTYS	Practice Advisor/ALIA Assistant/ Reception relief
COLLEEN GOODBRAND	Office Services Clerk - Meetings / Reception relief
JOANNE HANLON	Receptionist / Switchboard Operator
CORINNE HEMING	Office Services Clerk - Mail / Supplies
KRISTIN CLARK-BROWN	Receptionist / Switchboard Operator
DYLAN OFSTIE	Office Services Clerk - Reprographics
MAUREEN FORBES	Office Administrator
JUDY WHITE	Receptionist / Switchboard Operator
KIYO YOKOYAMA	Office Services Clerk - Mail / Supplies
LISA DUBYK	Office Administrator
MAGDALENE LUI	Office Services Clerk - Floater

### POLICY COUNSEL

SUSAN BILLINGTON	Policy & Program Counsel
SHARON ALLARD	Assistant to Policy & Program Counsel / Practice Advisors

### PRACTICE ADVISORS

NANCY CARRUTHERS	Practice Advisor
ROSS McLEOD	Practice Advisor

### PRACTICE REVIEW

BARBARA COOPER	Manager, Practice Review
MERRY ROGERS	Practice Review Associate

### RECORDS & INFORMATION MANAGEMENT SERVICES (RIMS)

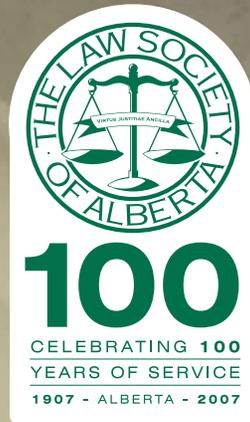
BRAD STEWART	Records Administrator
JESSICA ARTS	Information Officer
LISA BIRD	Records Assistant
TAMMY GARRIOCH	Records Technician

### SECRETARIAT

BROOKE HUNTER	Communications Assistant
CORRINE KUBOTA	Executive Assistant to the Executive Director
DON THOMPSON	Executive Director
DREW THOMSON	Director, Corporate Services
EILEEN DOOLEY	Communications Director
JESSICA ARTS	Information Officer
KAREN OLSEN	Benchers' Secretary
NONA CAMERON	Director of Human Resources & Administration
SHEILA SERUP	Acting Communications Director

Staff as of March 30, 2007





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